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Docket 65988 CCD

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10/02/60
SEARCHED
COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D. C. 20231A
JC303 U.S. PRO
09/966171
09/28/01

R:

Transmitted herewith for filing are the specification and claims of the patent application of

Katsuyuki YAMADA, Shinya NARUMI, Makoto HARIGAYA, Katsuhiko TANI,
Noriyuki IWATA, Nobuaki ONAGI, Kazunori ITO, Takashi SHIBAGUCHI,
Eiko HIBINO, Hajime YUZURIHARA, Hiroko OKURA, Akira SHIMOFUKU
and Yuki NAKAMURA
Inventors

for

OPTICAL INFORMATION RECORDING MEDIUM, METHOD OF MANUFACTURING
THE OPTICAL INFORMATION RECORDING MEDIUM, AND METHOD OF AND
APPARATUS FOR RECORDING/REPRODUCING OPTICAL INFORMATION

Title

Enclosed are:

17 sheets of informal formal drawings.

Oath or declaration of Applicants.

A power of attorney.

An assignment of the invention to _____.

A Preliminary Amendment.

A verified statement to establish small entity status under 37 C.F.R. § 1.9 and § 1.27.

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

	No. Filed		No. Extra*	Rate		Fee	
				Small Entity	Other	Small Entity	Other
Total Claims	32	-20 =	12	x \$ 9	\$18	-	\$ -0- \$ 216
Indep. Claims	6	- 3 =	3	x \$40	\$80	-	\$ -0- \$ 240
Multiple Dependent claims presented: Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>							
Fee						\$ 355	\$ 710
Total Fee						\$	\$1,166

*If the difference in col.1 is less than zero, enter "0" in col.2

xx A check in the amount of \$1,166 to cover the filing fee.

— Please charge Deposit Account No. 03-3125 in the amount of \$_____.

xx The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any overpayment to Account No. 03-3125.

xx Filing fees under 37 C.F.R. § 1.16.

xx Patent application processing fees under 37 C.F.R. § 1.17

— The issue fee set in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b).

xx Three copies of this sheet are enclosed.

xx Applicants hereby claim priority under 35 U.S.C. § 119 based on previously filed foreign application No. 2000-297364, filed in Japan on September 28, 2000; No. 2000-310536, filed in Japan on October 11, 2000; No. 2000-367361, filed in Japan on December 1, 2000 & No. 2000-088516, filed in Japan on March 26, 2001.

— A certified copy of the aforementioned foreign application(s) is/are enclosed.

xx Other (identify) Unsigned Declaration form.

Respectfully submitted,

Christopher C. Dunham
Christopher C. Dunham
Reg. No. 22,031
Attorney for Applicants
c/o COOPER & DUNHAM LLP
1185 Ave. of the Americas
New York, New York 10036
Tel. (212) 278-0400

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Katsuyuki YAMADA et al.

Serial No.: not yet assigned

Filed : currently herewith

For : OPTICAL INFORMATION RECORDING MEDIUM, METHOD
OF MANUFACTURING THE OPTICAL INFORMATION RECORDING
MEDIUM, AND METHOD OF AND APPARATUS FOR RECORDING/
REPRODUCING OPTICAL INFORMATION

EXPRESS MAIL LETTER OF TRANSMITTAL

1185 Ave. of the Americas

New York, N.Y. 10036

September 28, 2001

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

S T R .

I hereby certify that the above-identified Patent application (consisting of 127 pages, including 32 claims and an Abstract; 17 sheets of formal drawings; unsigned Declaration form, transmittal form with claim of priority, and fee check for \$1,166) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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Date of Deposit: SEPTEMBER 28, 2001

Christopher C. Dunham
Attorney for Applicants
Christopher C. Dunham,

Reg. No. 22,031
COOPER & DUNHAM LLP
Tel. (212) 278-0400